

# ON FINITE SOLUBLE GROUPS WITH ALMOST FIXED-POINT-FREE AUTOMORPHISMS OF NON-COPRIME ORDER

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ABSTRACT. It is proved that if a finite  $p$ -soluble group  $G$  admits an automorphism  $\varphi$  of order  $p^n$  having at most  $m$  fixed points on every  $\varphi$ -invariant elementary abelian  $p'$ -section of  $G$ , then the  $p$ -length of  $G$  is bounded above in terms of  $p^n$  and  $m$ ; if in addition the group  $G$  is soluble, then the Fitting height of  $G$  is bounded above in terms of  $p^n$  and  $m$ . It is also proved that if a finite soluble group  $G$  admits an automorphism  $\psi$  of order  $p^a q^b$  for some primes  $p, q$ , then the Fitting height of  $G$  is bounded above in terms of  $|\psi|$  and  $|C_G(\psi)|$ .

*to Yurii Leonidovich Ershov on the occasion of his 75-th birthday*

## 1. INTRODUCTION

Studying groups with “almost fixed-point-free” automorphisms means obtaining restrictions on the structure of groups depending on their automorphisms and certain restrictions imposed on the fixed-point subgroups. In this paper we consider questions of bounding the  $p$ -length and Fitting height of finite  $p$ -soluble and soluble groups admitting almost fixed-point-free automorphisms of non-coprime order.

Let  $\varphi \in \text{Aut } G$  be an automorphism of a finite group  $G$ . Studying the structure of the group  $G$  depending on  $\varphi$  and the fixed-point subgroup  $C_G(\varphi)$  is one of the most important and fruitful avenues in finite group theory. The celebrated Brauer–Fowler theorem [1] (bounding the index of the soluble radical in terms of the order of  $|C_G(\varphi)|$  when  $|\varphi| = 2$ ) and Thompson’s theorem [2] (giving the nilpotency of  $G$  when  $\varphi$  is of prime order and acts fixed-point-freely, that is,  $C_G(\varphi) = 1$ ) lie in the foundations of the classification of finite simple groups. The classification was used for obtaining further results on solubility of  $G$ , or of a suitable “large” subgroup. For example, using the classification Hartley [3] generalized the Brauer–Fowler theorem to any order of  $\varphi$ : the group  $G$  has a soluble subgroup of index bounded in terms of  $|\varphi|$  and  $|C_G(\varphi)|$ .

Now suppose that the group  $G$  is soluble. Further information on the structure of  $G$  is sought first of all in the form of bounds for the Fitting height (nilpotent length). A bound for the Fitting height naturally reduces further studies to the case of nilpotent groups with (almost) fixed-point-free automorphisms, for which, in turn, problems arise of bounding the derived length, or the nilpotency class of the group or of a suitable “large” subgroup. Such bounds for nilpotent groups so far have been obtained in the cases of  $\varphi$  being of prime order or of order 4 in [4, 5, 6, 7, 8, 9]. In addition, definitive general results have been obtained in the study of almost fixed-point-free  $p$ -automorphisms of finite  $p$ -groups [10, 11, 12, 13, 14, 15].

On bounding the Fitting height, especially strong results have been obtained in the case of soluble groups of automorphisms  $A \leq \text{Aut } G$  of coprime order. Thompson [16] proved that if both groups  $G$  and  $A$  are soluble and have coprime orders, then the Fitting height

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*Key words and phrases.* finite soluble group, automorphism,  $p$ -length, Fitting height.

The work is supported by Russian Science Foundation (project 14-21-00065).

of  $G$  is bounded in terms of the Fitting height of  $C_G(A)$  and the number  $\alpha(A)$  of prime factors of  $|A|$  with account for multiplicities. Later the bounds in Thompson's theorem were improved in numerous papers, with definitive results obtained by Turull [17] and Hartley and Isaacs [18] with linear bounds in terms of  $\alpha(A)$  for the Fitting height of the group or of a "large subgroup".

The case of non-coprime orders of  $G$  and  $A \leq \text{Aut } G$  is more difficult. Bell and Hartley [19] constructed examples showing that for any non-nilpotent finite group  $A$  there are soluble groups  $G$  of arbitrarily high Fitting height admitting  $A$  as a fixed-point-free group of automorphisms. But if  $A$  is nilpotent and  $C_G(A) = 1$ , then the Fitting height of  $G$  is bounded in terms of  $\alpha(A)$  by a special case of Dade's theorem [20]. Unlike the aforementioned "linear" results in the coprime case, the bound in Dade's theorem is exponential. Improving this bound to a linear one is a difficult problem; it was tackled in some special cases by Ercan and Güloğlu [21, 22, 23].

In the almost fixed-point-free situation, even for a cyclic group of automorphisms  $\langle \varphi \rangle \leq \text{Aut } G$  it is still an open problem to obtain a bound for the Fitting height of a finite soluble group  $G$  in terms of  $|\varphi|$  and  $|C_G(\varphi)|$  (this question is equivalent to the one recorded by Belyaev in Kourovka Notebook [24] as Hartley's Problem 13.8(a)). Beyond the fixed-point-free case of Dade's theorem, so far the only cases where an affirmative solution is known are the cases of automorphisms of primary order  $p^n$  (Hartley and Turau [25]) and of biprimary order  $p^a q^b$  (which is discussed in the present paper).

Another generalization of fixed-point-free automorphisms in the non-coprime case is Thompson's problem on bounding the  $p$ -length of a finite  $p$ -soluble group  $G$  admitting a  $p$ -group of automorphisms  $P$  that acts fixed-point-freely on every  $P$ -invariant  $p'$ -section of  $G$ . Rae [26] and Hartley and Rae [27] solved this problem in the affirmative for  $p \neq 2$ , as well as for cyclic  $P$  for any  $p$ . A special case of this problem is when a  $p$ -soluble group  $G$  admits a so-called  $p^n$ -splitting automorphism  $\varphi$ , which means that  $xx^\varphi x^{\varphi^2} \cdots x^{\varphi^{p^n-1}} = 1$  for all  $x \in G$  (this also implies  $\varphi^{p^n} = 1$ ); then of course  $\varphi$  automatically acts fixed-point-freely on  $\varphi$ -invariant  $p'$ -sections. This case was actually considered earlier by Kurzweil [28] who obtained bounds for the Fitting height of a soluble group  $G$ , and these bounds were improved to linear ones by Meixner [29]. If it is only known that  $\varphi$  induces a  $p^n$ -splitting automorphism on a  $\varphi$ -invariant Sylow  $p$ -subgroup of  $G$ , then there is already a bound in terms of  $n$  for the  $p$ -length of  $G$ : for  $p \neq 2$  such a bound was obtained by Wilson [30], and for all primes  $p$  in [31] even under a weaker assumption.

In this paper we consider the natural generalization of Thompson's problem for a  $p$ -soluble group  $G$  admitting an automorphism  $\varphi$  of order  $p^n$  in which the condition that  $\varphi$  acts fixed-point-freely on  $\varphi$ -invariant  $p'$ -sections is replaced by that  $\varphi$  acts almost fixed-point-freely on these sections. It is actually sufficient to impose the restriction on the number of fixed points of  $\varphi$  only on elementary abelian  $\varphi$ -invariant  $p'$ -sections.

**Theorem 1.1.** *If a finite  $p$ -soluble group  $G$  admits an automorphism  $\varphi$  of order  $p^n$  such that  $\varphi$  has at most  $m$  fixed points on every  $\varphi$ -invariant elementary abelian  $p'$ -section of  $G$ , then the  $p$ -length of  $G$  is bounded above in terms of  $p^n$  and  $m$ .*

It would be interesting to obtain a bound of the  $p$ -length in terms of  $n$  (or at least in terms of  $p^n$ ) for some subgroup of index bounded in terms of  $p^n$  and  $m$ .

**Remark 1.2.** There is a certain similarity with the situation for a  $p^n$ -splitting automorphism described above. Namely, if, for a  $p$ -soluble group  $G$  with an automorphism  $\varphi$  of order  $p^n$ , instead of a restriction on the number of fixed points on  $p'$ -sections, we have a restriction  $|C_P(\varphi)| = p^m$  on the number of fixed points of  $\varphi$  in a  $\varphi$ -invariant Sylow  $p$ -subgroup  $P$ , then we also obtain a bound for the  $p$ -length of  $G$ . Indeed, then the derived

length of  $P$  is bounded in terms of  $p$ ,  $n$ , and  $m$  by Shalev's theorem [12], so the bound for the  $p$ -length immediately follows from the Hall–Higman theorems [32] for  $p \neq 2$ , and the theorems of Hoare [33], Berger and Gross [34], and Bryukhanova [35]. Moreover, by [13] the group  $P$  even has a (normal) subgroup of index bounded in terms of  $p$ ,  $n$ , and  $m$  that has  $p^n$ -bounded derived length. Therefore by the Hall–Higman–Hartley Theorem 2.3 (see below) there is a characteristic subgroup  $H$  of  $G$  such that the  $p$ -length of  $H$  is  $p^n$ -bounded and a Sylow  $p$ -subgroup of the quotient  $G/H$  has order bounded in terms of  $p$ ,  $n$ , and  $m$ .

For soluble groups, Theorem 1.1 can be combined with known results to give a bound for the Fitting height.

**Corollary 1.3.** *If a finite soluble group  $G$  admits an automorphism  $\varphi$  of order  $p^n$  such that  $\varphi$  has at most  $m$  fixed points on every  $\varphi$ -invariant elementary abelian  $p'$ -section of  $G$ , then the Fitting height of  $G$  is bounded above in terms of  $p^n$  and  $m$ .*

The technique used in the proof of Theorem 1.1 is also applied in the proof of the soluble case of the following theorem on almost fixed-point-free automorphism of biprimary order; the reduction to the soluble case is given by Hartley's theorem [3] (based on the classification of finite simple groups).

**Theorem 1.4.** *If a finite group  $G$  admits an automorphism  $\varphi$  of order  $p^a q^b$  for some primes  $p, q$  and nonnegative integers  $a, b$ , then  $G$  has a soluble subgroup whose index and Fitting height are bounded above in terms of  $p^a q^b$  and  $|C_G(\varphi)|$ .*

Standard inverse limit arguments yield the following corollary for locally finite groups.

**Corollary 1.5.** *If a locally finite group  $G$  contains an element  $g$  of order  $p^a q^b$  for some primes  $p, q$  and nonnegative integers  $a, b$  with finite centralizer  $C_G(g)$ , then  $G$  has a subgroup of finite index that has a finite normal series with locally nilpotent factors.*

Another corollary is of more technical nature but it may be useful in further studies.

**Corollary 1.6.** *If a finite group  $G$  admits an automorphism  $\varphi$  such that there are at most two primes dividing both  $|\varphi|$  and  $|G|$ , then  $G$  has a soluble subgroup whose index and Fitting height are bounded above in terms of  $|\varphi|$  and  $|C_G(\varphi)|$ .*

**Remark 1.7.** After this paper was prepared for publication, the author became aware of an unpublished manuscript of Brian Hartley, which contains the result of Theorem 1.4; the author together with A. Borovik and P. Shumyatsky published this manuscript as [36] on the web-site of the University of Manchester.

## 2. PRELIMINARIES

Induced automorphisms of invariant sections are denoted by the same letters. The following lemma is well known.

**Lemma 2.1.** *If  $\varphi$  is an automorphism of a finite group  $G$  and  $N$  is a normal  $\varphi$ -invariant subgroup, then  $|C_{G/N}(\varphi)| \leq |C_G(\varphi)|$ .*

The next lemma is also a well-known consequence of considering the Jordan normal form of a linear transformation of order  $p^k$  in characteristic  $p$ .

**Lemma 2.2.** *If an elementary abelian  $p$ -group  $P$  admits an automorphism  $\varphi$  of order  $p^k$  such that  $|C_P(\varphi)| = p^m$ , then the rank of  $P$  is bounded in terms of  $p^k$  and  $m$ .*

We shall use the following consequence of the Hall–Higman–type theorems in Hartley's paper [37].

**Theorem 2.3** (Hall–Higman–Hartley). *Let  $P$  be a Sylow  $p$ -subgroup of a  $p$ -soluble group  $G$ . If  $R$  is a normal subgroup of  $P$  and the derived length of  $R$  is  $d$ , then  $R \leq O_{p',p,p',\dots,p',p}(G)$ , where  $p$  occurs on the right-hand side  $d$  times if  $p > 3$ ,  $2d$  times if  $p = 3$ , and  $3d$  times if  $p = 2$ .*

*Proof.* As a refinement of some of the Hall–Higman theorems [32], Hartley [37] proved that if  $A$  is an abelian normal subgroup of a Sylow  $p$ -subgroup of  $G$ , then

$$\begin{aligned} A &\leq O_{p',p}(G) && \text{if } p > 3, \\ A &\leq O_{3',3,3',3}(G) && \text{if } p = 3, \end{aligned}$$

and

$$A \leq O_{2',2,2',2,2',2}(G) \quad \text{if } p = 2.$$

The result follows from these inclusions for  $A = R^{(d-1)}$  by a straightforward induction on the derived length  $d$ .  $\square$

We now recall some definitions and notation from representation theory. If  $V$  is a  $kG$ -module for a field  $k$  and a group  $G$ , we use the right operator notation  $vg$  for  $v \in V$  and  $g \in G$ . We use the centralizer notation for fixed points, like  $C_V(g) = \{v \in V \mid vg = v\}$ . We also use the commutator notation  $[v, g] = -v + vg$  for  $v \in V$  and  $g \in G$ . The commutator subspaces are defined accordingly: if  $B \leq G$ , then  $[V, B]$  is the span of all commutators  $[v, b]$ , where  $v \in V$  and  $b \in B$ . The subspace  $[V, B]$  coincides with the commutator subgroup  $[V, B]$  in the natural semidirect product  $VG$  when  $V$  is regarded as the additive group acted upon by  $G$ . In particular,  $[V, B]$  is  $B$ -invariant, and thus can be regarded as a  $kB$ -submodule.

For a group  $G$  and a field  $k$ , a free  $kG$ -module of rank  $n$  is a direct sum of  $n$  copies of the group algebra  $kG$  each of which is regarded as a vector space over  $k$  of dimension  $|G|$  with a basis  $\{b_g \mid g \in G\}$  labelled by elements of  $G$  on which  $G$  acts in a regular permutation representation:  $b_g h = b_{gh}$ . In other words, a free  $kG$ -module  $V = \bigoplus_{g \in G} V_g$  is a direct sum of subspaces that are regularly permuted by  $G$  so that  $V_g h = V_{gh}$ .

The following lemma is known in the literature (see, for example, [25, Lemma 4.5]), but we give a proof for completeness.

**Lemma 2.4.** *Suppose that an abelian  $p$ -group  $M$  is acted upon by a cyclic group  $\langle \varphi \rangle$  of order  $p^n$  and  $V$  is a  $kM\langle \varphi \rangle$ -module for a field  $k$  of characteristic different from  $p$ . If the subgroup  $[M, \varphi^{p^{n-1}}]$  acts non-trivially on  $V$ , then the subspace  $[V, [M, \varphi^{p^{n-1}}]]$  is a free  $k\langle \varphi \rangle$ -module.*

Here, of course,  $\varphi^{p^{n-1}} = \varphi$  if  $n = 1$ .

*Proof.* The subspace  $[V, [M, \varphi^{p^{n-1}}]]$  is clearly  $M\langle \varphi \rangle$ -invariant, so is an  $kM\langle \varphi \rangle$ -module. We extend the ground field to its algebraic closure  $\bar{k}$  and denote by  $W = V \otimes_k \bar{k}$  the resulting  $\bar{k}M\langle \varphi \rangle$ -module. Then  $[W, [M, \varphi^{p^{n-1}}]]$  is a  $\bar{k}M\langle \varphi \rangle$ -module obtained from  $[V, [M, \varphi^{p^{n-1}}]]$  by the field extension.

Since the characteristic of the ground field is coprime to  $|M\langle \varphi \rangle|$ , by Maschke's theorem

$$W = C_W([M, \varphi^{p^{n-1}}]) \oplus [W, [M, \varphi^{p^{n-1}}]]$$

is a completely reducible  $\bar{k}M\langle \varphi \rangle$ -module. Let  $U$  be an irreducible  $\bar{k}M\langle \varphi \rangle$ -submodule of  $[W, [M, \varphi^{p^{n-1}}]]$  on which  $[M, \varphi^{p^{n-1}}]$  acts non-trivially.

By Clifford's theorem,  $U = U_1 \oplus \dots \oplus U_m$  decomposes into homogeneous  $\bar{k}M$ -submodules  $U_i$  (Wedderburn components). The group  $\langle \varphi \rangle$  transitively permutes the  $U_i$ . If the kernel of this permutational action was non-trivial, then  $\varphi^{p^{n-1}}$  would stabilize all the  $U_i$ . But

the abelian group  $M$  acts by scalar transformations on each homogeneous component  $U_i$ . Hence  $[M, \varphi^{p^{n-1}}]$  would act trivially on each  $U_i$  and therefore on  $U$ , contrary to our assumption. Thus,  $U$  is a free  $\bar{k}\langle\varphi\rangle$ -module.

Since  $[W, [M, \varphi^{p^{n-1}}]]$  is the direct sum of such  $U$ , we obtain that  $[W, [M, \varphi^{p^{n-1}}]]$  is also a free  $\bar{k}\langle\varphi\rangle$ -module. Then  $[V, [M, \varphi^{p^{n-1}}]]$  is a free  $k\langle\varphi\rangle$ -module. Indeed, by the Deuring–Noether theorem [38, Theorem 29.7] two representations over a smaller field are equivalent if they are equivalent over a larger field. Being a free  $\bar{k}\langle\varphi\rangle$ -module, or a free  $k\langle\varphi\rangle$ -module, means having a basis, as of a vector space over the corresponding field, elements of which are permuted by  $\varphi$  so that all orbits are regular. In such a basis  $\langle\varphi\rangle$  is represented by the corresponding permutational matrices, all of which are defined over  $k$ .  $\square$

### 3. AUTOMORPHISM OF ORDER $p^n$

First we state separately the following proposition, which will also be used in the next section in a different situation.

**Proposition 3.1.** *Suppose that a cyclic group  $\langle\varphi\rangle$  of order  $p^n$  acts by automorphisms on a finite  $p$ -group  $P$ , and  $V$  is a faithful  $\mathbb{F}_q P\langle\varphi\rangle$ -module, where  $\mathbb{F}_q$  is a prime field of order  $q \neq p$ . Then the derived length of  $[P, \varphi^{p^{n-1}}]$  is bounded in terms of  $|C_V(\varphi)|$  and  $p^n$ .*

*Proof.* Let  $M$  be a maximal abelian normal subgroup of the semidirect product  $P\langle\varphi\rangle$ . If  $[M, \varphi^{p^{n-1}}] \neq 1$ , then by Lemma 2.4,  $[V, [M, \varphi^{p^{n-1}}]]$  is a free  $\mathbb{F}_q\langle\varphi\rangle$ -module. Obviously, in a free  $\mathbb{F}_q\langle\varphi\rangle$ -module the fixed points of  $\varphi$  are exactly the “diagonal” elements. Hence the order of  $[V, [M, \varphi^{p^{n-1}}]]$  is equal to

$$|C_{[V, [M, \varphi^{p^{n-1}}]]}(\varphi)|^{|\varphi|} = |C_{[V, [M, \varphi^{p^{n-1}}]]}(\varphi)|^{p^n}$$

and therefore is bounded in terms of  $|C_V(\varphi)|$  and  $p^n$ . The group  $[M, \varphi^{p^{n-1}}]$  acts faithfully on  $V$ ; therefore by Maschke’s theorem it also acts faithfully on  $[V, [M, \varphi^{p^{n-1}}]]$ . Hence the order of  $[M, \varphi^{p^{n-1}}]$  is bounded in terms of  $|C_V(\varphi)|$  and  $p^n$ . The same of course holds if  $[M, \varphi^{p^{n-1}}] = 1$ .

It follows that the index  $|M : C_M(\varphi^{p^{n-1}})|$  is bounded in terms of  $|C_V(\varphi)|$  and  $p^n$ , since this index is equal to the number of different commutators  $[m, \varphi^{p^{n-1}}]$  for  $m \in M$ .

Consider a central series of  $P\langle\varphi\rangle$  connecting 1 and  $M$ . Since  $|M : C_M(\varphi^{p^{n-1}})|$  is bounded in terms of  $|C_V(\varphi)|$  and  $p^n$ , the number of factors of this series that are not covered by  $C_M(\varphi^{p^{n-1}})$  is bounded in terms of  $|C_V(\varphi)|$  and  $p^n$ . Therefore there is a normal series of bounded length connecting 1 and  $M$  each factor of which is either central in  $P\langle\varphi\rangle$  or is covered by  $C_M(\varphi^{p^{n-1}})$ . Obviously, then  $\varphi^{p^{n-1}}$  acts trivially on each factor of this series, and therefore so does  $[P, \varphi^{p^{n-1}}]$ . By Kaluzhnin’s theorem, the automorphism group induced by the action of  $[P, \varphi^{p^{n-1}}]$  on  $M$  is nilpotent of bounded class. Since  $M$  contains its centralizer in  $P\langle\varphi\rangle$ , it follows that  $[P, \varphi^{p^{n-1}}]$  is soluble of bounded derived length, since by the above  $\gamma_s([P, \varphi^{p^{n-1}}]) \leq M \cap [P, \varphi^{p^{n-1}}]$  for some number  $s$  bounded in terms of  $|C_V(\varphi)|$  and  $p^n$ .  $\square$

*Proof of Theorem 1.1.* Recall that  $G$  is a finite  $p$ -soluble group admitting an automorphism  $\varphi$  of order  $p^n$  such that  $\varphi$  has at most  $m$  fixed points in every  $\varphi$ -invariant elementary abelian  $p'$ -section of  $G$ . We need to bound the  $p$ -length of  $G$  in terms of  $p^n$  and  $m$ . Henceforth in this section, saying for brevity that a certain parameter is simply “bounded” we mean that this parameter is bounded above in terms of  $p^n$  and  $m$ .

We use induction on  $n$ . It is convenient to consider the case of  $n = 0$  as the basis of induction, when  $|\varphi| = p^0 = 1$ , that is,  $\varphi$  acts trivially on  $G$ . Then the hypothesis means

that every elementary abelian  $p'$ -section of  $G$  has bounded order. We claim that the nilpotency class of a Sylow  $p$ -subgroup  $P$  of  $\hat{G} = G/O_p(G)$  is bounded. Indeed, since the order of  $P$  is coprime to  $|O_{p'}(\hat{G})|$ , for every prime  $q$  dividing  $|O_{p'}(\hat{G})|$  there is a  $P$ -invariant Sylow  $q$ -subgroup  $Q$  of  $O_{p'}(\hat{G})$ . The quotient  $P/C_P(Q)$  acts faithfully on the Frattini quotient  $Q/\Phi(Q)$ , which has order at most  $m$  by the assumption. Hence  $P/C_P(Q)$  has bounded order and therefore bounded nilpotency class. Since  $P$  acts faithfully on  $O_{p'}(\hat{G})$ , we have  $\bigcap C_P(Q_i) = 1$ , where  $Q_i$  runs over all  $P$ -invariant Sylow subgroups of  $O_{p'}(\hat{G})$ . Hence  $P$  is a subdirect product of groups of bounded nilpotency class and therefore has bounded nilpotency class itself. We now obtain that the  $p$ -length of  $\hat{G} = G/O_p(G)$  is bounded by the Hall–Higman theorem [32]. As a result, the  $p$ -length of  $G$  is bounded.

From now on we assume that  $n \geq 1$ .

Let  $\hat{G} = G/O_p(G)$ . Consider a Sylow  $p$ -subgroup of the semidirect product  $\hat{G}\langle\varphi\rangle$  containing  $\langle\varphi\rangle$  and let  $P$  be its intersection with  $\hat{G}$ , so that  $P$  is a  $\varphi$ -invariant Sylow  $p$ -subgroup of  $\hat{G}$ . Since the order of the  $p$ -group  $P\langle\varphi\rangle$  is coprime to  $|O_{p'}(\hat{G})|$ , for every prime  $q$  dividing  $|O_{p'}(\hat{G})|$  there is a  $P\langle\varphi\rangle$ -invariant Sylow  $q$ -subgroup  $Q$  of  $O_{p'}(\hat{G})$ .

The quotient  $\bar{P} = P/C_P(Q)$  acts faithfully on the Frattini quotient  $V = Q/\Phi(Q)$ , which we regard as an  $\mathbb{F}_q P\langle\varphi\rangle$ -module. By hypothesis,  $|C_V(\varphi)| \leq m$ , so by Proposition 3.1 the derived length of  $[\bar{P}, \varphi^{p^{n-1}}]$  is bounded. In other words,  $[P, \varphi^{p^{n-1}}]^{(s)} \leq C_P(Q)$  for some bounded number  $s$ . Since  $P$  acts faithfully on  $O_{p'}(\hat{G})$ , we have  $\bigcap C_P(Q_i) = 1$ , where  $Q_i$  runs over all  $P\langle\varphi\rangle$ -invariant Sylow subgroups of  $O_{p'}(\hat{G})$ . Hence,  $[P, \varphi^{p^{n-1}}]^{(s)} = 1$ .

By the Hall–Higman–Hartley Theorem 2.3 we now obtain that the normal subgroup  $[P, \varphi^{p^{n-1}}]$  of the Sylow  $p$ -subgroup  $P$  is contained in  $H = O_{p', p, p', \dots, p'}(\hat{G})$ , where  $p$  occurs boundedly many times.

Consider the action of  $\varphi$  on the quotient  $\tilde{G} = \hat{G}/H$ . Since  $[P, \varphi^{p^{n-1}}] \leq H$ , it follows that  $\varphi^{p^{n-1}}$  acts trivially on the image of  $P$ , which is a Sylow  $p$ -subgroup of  $\tilde{G}$ . In particular,  $\varphi^{p^{n-1}}$  acts trivially on  $O_{p', p}(\tilde{G})/O_{p'}(\tilde{G})$ , and therefore so does  $[\tilde{G}, \varphi^{p^{n-1}}]$ . Since  $O_{p', p}(\tilde{G})/O_{p'}(\tilde{G})$  contains its centralizer in  $\tilde{G}/O_{p'}(\tilde{G})$ , we obtain that  $[\tilde{G}, \varphi^{p^{n-1}}] \leq O_{p', p}(\tilde{G})$ . In other words,  $\varphi^{p^{n-1}}$  acts trivially on the quotient  $\tilde{G}/O_{p', p}(\tilde{G})$ . Therefore the order of the automorphism induced by  $\varphi$  on  $\tilde{G}/O_{p', p}(\tilde{G})$  is at most  $p^{n-1}$ . By the induction hypothesis the  $p$ -length of this quotient is bounded. Then the  $p$ -length of  $G/O_{p, p'}(G)$  is bounded, and therefore the  $p$ -length of  $G$  is bounded, as required.  $\square$

*Proof of Corollary 1.3.* Here,  $G$  is a finite soluble group admitting an automorphism  $\varphi$  of order  $p^n$  such that  $\varphi$  has at most  $m$  fixed points in every  $\varphi$ -invariant elementary abelian  $p'$ -section of  $G$ . By Theorem 1.1 the  $p$ -length of  $G$  is bounded. It remains to obtain a bound for the Fitting height of every  $p'$ -factor  $T$  of the upper  $p$ -series consisting of the subgroups  $O_{p', p, p', p, \dots}$ . It is known that the rank of a finite group is bounded in terms of the ranks of its elementary abelian sections. Here, by definition, the rank of a group is the minimum number  $r$  such that every subgroup can be generated by  $r$  elements. Of course every elementary abelian section of  $C_T(\varphi)$  is a  $\varphi$ -invariant  $p'$ -section of  $G$  and therefore has bounded order by hypothesis. It is also known that the Fitting height of a soluble finite group is bounded in terms of its rank. Thus  $C_T(\varphi)$  has bounded Fitting height and therefore so does  $G$  by Thompson’s theorem [16].  $\square$

**Remark 3.2.** If we could obtain in Theorem 1.1 a “strong” bound for the  $p$ -length, in terms of  $\alpha(\langle\varphi\rangle)$  only, for a subgroup of bounded index, then a similar strong bound could be obtained in Corollary 1.3 for the Fitting height of a subgroup of bounded index. This would follow from a rank analogue of the Hartley–Isaacs theorem proved in [39], which

states that if a finite soluble group  $K$  admits a soluble group of automorphisms  $L$  of coprime order, then  $K$  has a normal subgroup  $N$  of Fitting height at most  $5(4^{\alpha(L)} - 1)/3$  such that the order of  $K/N$  is bounded in terms of  $|L|$  and the rank of  $C_K(L)$ .

#### 4. AUTOMORPHISM OF ORDER $p^a q^b$

*Proof of Theorem 1.4.* Recall that  $G$  is a finite group admitting an automorphism  $\varphi$  of order  $p^a q^b$ . By Hartley's theorem [3] (based on the classification of finite simple groups),  $G$  has a soluble subgroup of index bounded in terms of  $p^a q^b$  and  $|C_G(\varphi)|$ . Therefore we can assume from the outset that  $G$  is soluble, so that we need to bound the Fitting height of  $G$  in terms of  $p^a q^b$  and  $|C_G(\varphi)|$ . Throughout this section we say for brevity that a certain parameter is “bounded” meaning that this parameter is bounded above in terms of  $p^a q^b$  and  $|C_G(\varphi)|$ . We use without special references the fact that the number of fixed points of  $\varphi$  in every  $\varphi$ -invariant section of  $G$  is at most  $|C_G(\varphi)|$  by Lemma 2.1.

We use induction on  $a + b$ . As a basis of induction we consider the case when either  $a = 0$  or  $b = 0$ . Then  $|\varphi|$  is a prime-power, and by the Hartley–Turau theorem [25] the group  $G$  has a subgroup of bounded index that has Fitting height at most  $\alpha(\varphi)$ . (Actually, for our ‘weak’ bound a simpler argument would suffice: if, say,  $|\varphi| = p^a$ , then the rank of the Frattini quotient of  $O_{p',p}(G)/O_{p'}(G)$  is bounded by Lemma 2.2, which implies a bound for the Fitting height of  $G/O_{p'}(G)$ , and the Fitting height of  $O_{p'}(G)$  is bounded in terms of  $a$  by Thompson's theorem [16].) Moreover, the following proposition holds, which apparently was noted by Hartley but may have remained unpublished. We state this proposition in a more general form, without assuming that the automorphism has biprimary order.

**Proposition 4.1.** *If a finite soluble group  $G$  admits an automorphism  $\psi$  such that there is at most one prime dividing both  $|\psi|$  and  $|G|$ , then the Fitting height of  $G$  is bounded above in terms of  $|\psi|$  and  $|C_G(\psi)|$ .*

*Proof.* If  $(|\psi|, |G|) = 1$ , then the result follows from the stronger theorem of Thompson [16]. Now let  $\langle \psi \rangle = \langle \psi_r \rangle \times \langle \psi_{r'} \rangle$ , where  $\langle \psi_r \rangle$  is the Sylow  $r$ -subgroup of  $\langle \psi \rangle$  and  $r$  is the only common prime divisor of  $|G|$  and  $|\psi|$ . The centralizer  $C_G(\psi_{r'})$  admits the automorphism  $\psi_r$  of prime-power order whose centralizer  $C_{C_G(\psi_{r'})}(\psi_r)$  is equal to  $C_G(\psi)$ . By the Hartley–Turau theorem, the Fitting height of  $C_G(\psi_{r'})$  is bounded. We now apply Thompson's theorem to the automorphism  $\psi_{r'}$  of  $G$  of coprime order to obtain that the Fitting height of  $G$  is bounded as required.  $\square$

We return to the proof of Theorem 1.4. Let  $a \geq 1$  and  $b \geq 1$ . Let  $\varphi_p = \varphi^{q^b}$  and  $\varphi_q = \varphi^{p^a}$ , so that  $|\varphi_p| = p^a$  and  $|\varphi_q| = q^b$ , while  $\langle \varphi \rangle = \langle \varphi_p \rangle \times \langle \varphi_q \rangle$ . The subgroup  $O_{q'}(G)$  admits the automorphism  $\varphi$  whose order has at most one prime divisor  $p$  in common with  $|O_{q'}(G)|$ . By Proposition 4.1 the Fitting height of  $O_{q'}(G)$  is bounded.

Therefore we can assume that  $O_{q'}(G) = 1$ . Then the quotient  $\bar{G} = G/O_q(G)$  acts faithfully on the Frattini quotient  $V = O_q(G)/\Phi(O_q(G))$ , which we regard as an  $\mathbb{F}_q \bar{G} \langle \varphi \rangle$ -module. The fixed-point subspace  $C_V(\varphi_p)$  has bounded order. This follows from Lemma 2.2 applied to the action of the linear transformation  $\varphi_q$  of order  $q^b$  on  $C_V(\varphi_p)$ , since the fixed points of  $\varphi_q$  in  $C_V(\varphi_p)$  are contained in the fixed-point subspace  $C_V(\varphi)$  of bounded order.

Choose a Sylow  $p$ -subgroup of  $\bar{G} \langle \varphi_p \rangle$  containing  $\langle \varphi_p \rangle$ , and let  $P$  be its intersection with  $\bar{G}$ , so that  $P$  is a  $\varphi_p$ -invariant Sylow  $p$ -subgroup of  $\bar{G}$ . By Proposition 3.1, the subgroup  $[P, \varphi_p^{p^{a-1}}]$  has bounded derived length.

Hence by the Hall–Higman–Hartley Theorem 2.3 the normal subgroup  $[P, \varphi_p^{p^{a-1}}]$  of the Sylow  $p$ -subgroup  $P$  is contained in  $H = O_{p', p, p', \dots, p'}(\bar{G})$ , where  $p$  occurs boundedly many times.

Consider the action of  $\varphi$  on the quotient  $\tilde{G} = \bar{G}/H$ . Since  $[P, \varphi_p^{p^{a-1}}] \leq H$ , it follows that  $\varphi_p^{p^{a-1}}$  acts trivially on the image of  $P$ , which is a Sylow  $p$ -subgroup of  $\tilde{G}$ . In particular,  $\varphi_p^{p^{a-1}}$  acts trivially on  $O_{p', p}(\tilde{G})/O_{p'}(\tilde{G})$ , and therefore so does  $[\tilde{G}, \varphi_p^{p^{a-1}}]$ . Since  $O_{p', p}(\tilde{G})/O_{p'}(\tilde{G})$  contains its centralizer in  $\tilde{G}/O_{p'}(\tilde{G})$ , we obtain that  $[\tilde{G}, \varphi_p^{p^{a-1}}] \leq O_{p', p}(\tilde{G})$ . In other words,  $\varphi_p^{p^{a-1}}$  acts trivially on the quotient  $\tilde{G}/O_{p', p}(\tilde{G})$ . Therefore the order of the automorphism induced by  $\varphi$  on  $\tilde{G}/O_{p', p}(\tilde{G})$  divides  $p^{a-1}q^b$ . By induction, the Fitting height of this quotient is bounded.

It remains to obtain a bound for the Fitting height of each of the boundedly many  $\varphi$ -invariant normal  $p'$ -sections that appear in the upper  $p$ -series of the groups  $H$  and  $O_{p', p}(\tilde{G})$ . Such a bound follows from Proposition 4.1.  $\square$

*Proof of Corollary 1.5.* This corollary for locally finite groups follows from Theorem 1.4 by the standard inverse limit argument.  $\square$

*Proof of Corollary 1.6.* Here, a finite group  $G$  admits an automorphism  $\varphi$  such that there are at most two primes dividing both  $|\varphi|$  and  $|G|$ . Again, by Hartley’s theorem [3] we can assume from the outset that  $G$  is soluble. If  $(|\varphi|, |G|)$  is 1 or a prime power, then the result follows from Proposition 4.1. Now let  $\langle \varphi \rangle = \langle \varphi_{pq} \rangle \times \langle \psi \rangle$ , where  $\langle \varphi_{pq} \rangle$  is the Hall  $\{p, q\}$ -subgroup of  $\langle \varphi \rangle$  and  $p, q$  are the only common prime divisors of  $|G|$  and  $|\varphi|$ . The centralizer  $C_G(\psi)$  admits the automorphism  $\varphi_{pq}$  of biprimary order whose centralizer  $C_{C_G(\psi)}(\varphi_{pq})$  is equal to  $C_G(\varphi)$ . By Theorem 1.4, the Fitting height of  $C_G(\psi)$  is bounded in terms of  $|\varphi_{pq}|$  and  $|C_G(\varphi)|$ . We now apply Thompson’s theorem [16] to the automorphism  $\psi$  of  $G$  of coprime order to obtain that the Fitting height of  $G$  is bounded in terms of  $|\varphi|$  and  $|C_G(\varphi)|$ .  $\square$

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