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4 An specific case in the classification of 5 woods by FTIR and chemometrics: 6 Discrimination of Fagales from 7 Malpighiales

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14 Abstract

15 Fourier Transform Infrared (FTIR) spectroscopic data was used to classify wood samples from nine
16 species within the Fagales and Malpighiales using a range of multivariate statistical methods.
17 Taxonomic classification of the family Fagaceae and Betulaceae from Angiosperm Phylogenetic
18 System Classification (APG II System) was successfully performed using Supervised Pattern
19 Recognition techniques. A methodology for wood sample discrimination was developed using both
20 sapwood and heartwood samples. Ten and eight biomarkers emerged from the dataset to
21 discriminate order and family, respectively. In the species studied FTIR in combination with
22 Multivariate analysis highlighted significant chemical differences in hemicelluloses, cellulose and
23 guaiacyl (lignin) and shows promise as a suitable approach for wood sample classification.

24 Introduction

25 The polymeric composition of wood

26 Wood is composed mainly of cellulose, hemicellulose, and lignin where the cellulose microfibrils are
27 embedded within a matrix of hemicelluloses and lignin (Sjostrom 1993). Cellulose is the main
28 component of wood and the skeletal polysaccharide of cell walls (Barnett and Jeronimidis 2003).
29 Cellulose chains are aggregated together by inter- and intramolecular hydrogen bonds to form
30 microfibrils with highly ordered (crystalline) and less ordered (amorphous) regions (Martin 2006). On
31 other hand, hemicelluloses are non-cellulosic polysaccharides. The most important hemicelluloses in
32 wood cell walls are xylans and glucomannans (Barnett and Jeronimidis 2003). Lignin is a

33 macromolecule formed by the polymerization of three phenylpropane monomers, it is highly
34 heterogeneous and is the most complex structural component of the wood cell wall. Lignin attaches
35 to cellulose and hemicelluloses by lignin-polysaccharide bonds (Wang et al. 2009); hemicellulose
36 may be associated with both lignin and cellulose. In Spruce (*Picea abies*), it has been shown that
37 xylan is associated with lignin and glucomannan with cellulose (Martin 2006).
38 There is considerable variation in the composition of wood; it varies at all levels from species to
39 species, between cell types, and within the cell wall itself (Martin 2006). The underlying factors
40 controlling wood properties are essentially the result of its chemical composition and their relative
41 proportion and distribution (Barnett and Jeronimidis 2003).

42 **Cross-sectional variation in the chemical composition of wood: Sapwood vs** 43 **Heartwood**

44 The outer region of wood with living parenchyma cells is known as sapwood and the inner region
45 with only dead cells is termed heartwood - this is often, but not always, a dark colour than the
46 sapwood due to the presence of various extractives (Ek et al. 2009; Martin 2006). The precise cause
47 of heartwood formation is not known but it is characterised by the accumulation of polyphenolic
48 substances in the cells and a general reduction in the moisture content of the wood. In addition to
49 its colour heartwood may be more aromatic on account of the extractives. The structure of bark is
50 complicated in comparison with wood (Sjostrom 1993). Its high variability is mainly attributed to its
51 rich composition in extractives (Ek et al. 2009).

52
53 In a tree considerable differences exist in the hemicellulose content and composition between the
54 stem, branches, roots and bark (Sjostrom 1993). Heartwood has higher lignin and lower cellulose
55 content compared to sapwood (Martin 2006; Meinzer et al. 2011). There is a similar trend with tree
56 height. Hemicelluloses seem to be more concentrated in the juvenile regions and usually decrease in
57 mature wood regions (Martin 2006). Differences in lignin composition (S/G ratio) were also found
58 between heartwood and sapwood and with tree height (Barnett and Jeronimidis 2003). Trees with a
59 decrease in lignin have a proportional increase in cellulose (Callow et al. 2006) while the two
60 components of holocellulose (i.e., α -cellulose and hemicellulose) tend to vary directly (Stewart et al.
61 1995).

62 **Infrared spectroscopy**

63 Fourier Transform Infrared (FTIR) Spectroscopy is a rapid, noninvasive, high-resolution analytical tool
64 for identifying types of chemical bonds in a molecule by producing an infrared absorption spectrum
65 that is like a molecular "fingerprint" (Shen et al. 2008). However, a single IR spectrum also contains
66 information about the molecular structure and intermolecular interactions among the individual
67 sample components (McCann et al. 2001). Infrared spectroscopy has been extensively applied to the
68 analysis of plant cell walls (Kacuráková et al. 2000). Furthermore, in combination with multivariate
69 analysis, FTIR has been used for the chemotaxonomic classification of flowering plants such as the
70 identification and classification of the genus of *Camellia* using cluster analysis and Principal
71 Component Analysis (PCA) (Shen et al. 2008), the taxonomic discrimination of three families using a
72 dendrogram based on PCA (Kim et al. 2004) and the differentiation of plants from different genera
73 using cluster analysis (Gorgulu et al. 2007) (Table 1). In tree species wood has also been analysed
74 using FTIR spectroscopy to characterize lignin in wood (Obst 1982; Takayama 1997), determine

75 changes in composition and structure of wood (Stewart et al. 1995), and characterize softwood and
76 hardwood pulps using Partial Least-Squares analysis (PLS) and PCA (Bjarnestad and Dahlman 2002).
77 Furthermore, the interaction of wood polymers and differentiation of wood species using Partial
78 Least-Squares regression has also been investigated (Åkerholm et al. 2001; Hobro et al. 2010). A
79 recent review by Tsuchikawa (2007) summarizes important findings in the classification of wood
80 using NIR-FTR spectroscopy and multivariate techniques.

81

82 **Chemometrics**

83 Chemometrics is the science of extracting information from chemical systems with the application of
84 statistical and mathematical methods to chemical data (Gidman et al. 2003) and the most common
85 ones are based on dimensionality reduction via cluster analysis using Principal Component Analysis
86 (PCA) and Discriminant Function Analysis (DFA). Multivariate analysis builds on the application of
87 statistical and mathematical methods, and includes the analysis of data with many observed
88 variables, as well as the study of systems with many important types of variation (Gottlieb et al.
89 2004). Multivariate analysis is an inductive analysis, where hypotheses can be set up after having
90 carried out the computational experiments to identify outlying observations (Gottlieb et al. 2004),
91 clusters of similar observations and other data structures. The biochemical profiles of FTIR from
92 whole cell samples are extremely high-density data sets and, consequently, FTIR data must be
93 analyzed by means of multivariate analysis when multiple whole cell samples are compared (Kim et
94 al. 2004).

95 **Phylogenetic classification of trees**

96 Trees belongs to seed-bearing plants (Spermatophytæ), which are subdivided into gymnosperms
97 (Gymnospermae) and angiosperms (Angiospermae) (Sjostrom 1993). Based on the APG II System
98 Classification, the fagales are an order of flowering plants, including some of the best known trees
99 and containing 8 families and approximately 30 genera and nearly 1000 species. They belong among
100 the rosids group of dicotyledons. Two of the families of this order are Fagaceae and Betulaceae. On
101 other hand, Malpighiales is one of the largest orders of flowering plants, containing about 16000
102 species, approximately 7.8% of the eudicots. Malpighiales is divided in 35 families by APG II system
103 (APG II 2003). One of them is Salicaceae. Malpighiales and Lamiales are the two large orders whose
104 phylogeny remains mostly unresolved. The order is very diverse and hard to recognize except with
105 molecular phylogenetic evidence.

106 The aim of this work is use the chemical composition of wood extracted from the FTIR data to
107 discriminate wood samples between order and family, taking groups from the current plant APG II
108 classification system.

109 **Materials**

110 Branch material was collected from 9 tree species in Lincoln (Lincolnshire, UK). All were members of
111 the rosid clade; 6 species were from the order fagales and 3 from the order malpighiales (**Table 2**).
112 Samples were stored in a dry environment at ambient temperature conditions.

113 **Methods**

114 **Sample preparation**

115 Samples, approximately 30 cm in length, were removed from branches from 9 species and then cut
116 into transverse sections between 5 to 15 mm in thickness using a bandsaw. Two of the transverse
117 sections were selected at random to represent each tree; it was assumed that there was no variation
118 in the composition of wood along the branch. The two sections from each tree were taken as
119 replicates of the same measure in the statistical analysis. Spectra were collected for a total of 256
120 scans with a resolution of 4 cm⁻¹ between 4000 and 500 cm⁻¹. Spectra were acquired from six
121 positions across the transverse section from the central pith to the outer bark region including: the
122 pith, a ring close to the pith, a central ring, a ring close to the bark, the bark, and an arbitrary point in
123 the sapwood with no visible rings. A total of 12 spectra were recorded for each tree species. The
124 dataset obtained from a PerkinElmer Spectrum 100 FTIR Spectrometer was integrated by 3500
125 variables and 108 observations¹.

126 The data set was processed using Tanagra 1.4.39 software and analysed using PCA, One-Way
127 ANOVA, Stepwise DA method, Partial-Least squares for Classification (C-PLS), Linear Discriminant
128 Analysis (LDA) and PLS-LDA linear models. The dataset was split in 4 groups; each named according
129 to the position in which spectrum was recorded: pith, bark, rings (this included all ring measures)
130 and sapwood dataset. Each group dataset was used as input of a Stepwise DA method and
131 classification functions were computed. Only vibrational spectra of wood samples recorded in the
132 rings group could be used to discriminate between taxon.

133 **Multivariate techniques**

134 The raw spectra of 6 wood species that belong to fagales order and 3 wood species from
135 malpighiales order were statistically analysed; sample sizes are given in **Table 3**.

136 Wavenumbers from the ring dataset were normalized and any detected outliers were removed. An
137 initial exploratory analysis with PCA was followed by a pattern recognition procedure to distinguish
138 the relations between taxa. PCA is commonly applied to spectroscopy data to study the main
139 variability in the spectra. The factors were firstly rotated by VARIMAX method to facilitate the
140 interpretation and secondly interpreted in terms of their chemical meaning. A One-Way ANOVA
141 analysis was applied over the scores of the rotated principal components from PCA to highlight the
142 most influent chemical features for grouping the data in fagales or malpighiales orders, as well as
143 their family subgroups. Supervised pattern recognition was carried out after the exploratory
144 analysis. Predictor variables, selected using a Stepdisc method, were related to the proposed group
145 structure (APG II system classification) using mathematical linear models. Several linear models were
146 computed and compared: PLS-LDA, LDA and C-PLS. This allowed the subsequent classification of
147 unknown samples. The accuracy of the model was evaluated with validation methods such as Leave
148 One Out (LOO), bootstrap method and an independent test set.

¹ Total number of observations: 108. Showed in the present work: 67 (45 from ring dataset and 22 from sapwood dataset)

149 Results and Discussion

150 Wood spectra data set

151 Vibrational spectra of wood samples recorded in the rings are shown for order and family
152 classification in **Fig. 1**. The arrows indicate important bands in the discrimination of wood samples
153 based on the Stepwise DA results. The very intimate mixing of the components makes it difficult to
154 interpret the spectrum and no visual evidence in the spectra of wood can be found to discriminate
155 among the groups (Fig. 1).

156 Exploratory analysis

157 A Principal Component Analysis (PCA) mathematical technique was applied to over 60 samples of
158 individual spectra of trees to find the more relevant wavelengths, between the range 4000-500 cm^{-1} ,
159 which contribute to sample discrimination between Fagales and Malpighiales order and Fagaceae
160 and Betulaceae family. The data set was standardized to avoid the variance of the variables affecting
161 the principal components analysis. The factor rotated loading (FR) extracted from PCA were used for
162 interpreting the principal components and to determine which variables are influential in the
163 formation of PCs. Loading plots are shown in **Fig. 2** for order and family datasets, respectively. The
164 higher the loading of a variable, the more influence it has in the formation of the factor and vice
165 versa. The output from PCA is shown in **Table 4**.

166 A One-Way ANOVA analysis on the scores from PCA revealed one important factor which contains
167 chemical differences to discriminate fagales from malpighiales orders and two relevant factors from
168 family dataset with chemical information relevant for the classification (Table 5; $p \leq 0.05$).

169 In the case of order dataset, the third factor selected by the ANOVA is defined by the region 1701-
170 1380 cm^{-1} considering only significant correlations higher than 70% as cut-off value. A detailed band
171 assignment of the factor is given in **Table 6**. This region of the spectrum is probably associated with
172 aromatic vibrations in lignin and cellulose, as well as some amide stretching and C=O vibrations as
173 more significant contributions.

174 On other hand, in the case of family dataset the regions from 4000-3605 and 2819-1754 cm^{-1}
175 contribute to the formation of the second factor with high significance. The assignment of this
176 wavenumbers could not be made with certainty. Some CH stretching, not described in the literature,
177 and the broad C=O stretching band are generally assigned to this region. The third rotated factor is
178 defined by the wavenumbers from 1629-1179 cm^{-1} . The C-H vibrations of lignin, cellulose and
179 hemicelluloses exhibit characteristic bands between 1511-1377 cm^{-1} and the OH in plane bending
180 normally occurs in the region of 1455-1438 (Marchessault 1962). Bands attributed to guaiacyl
181 around 1290 cm^{-1} (Anchukaitis et al. 2008) and cellulose at 1300 cm^{-1} , as well as non-cellulosic
182 polysaccharides at the region 1214-1179 cm^{-1} are important contributions to the third factor in the
183 case of family dataset. The remaining bands observed in this region are shown in **Table 6**.

184 Stepwise DA Analysis

185 10 biomarkers (1742, 1719, 1715, 1696, 1566, 1438, 1384, 1273, 1260 and 950 cm^{-1}) were
186 successfully found discriminating from Malpighiales to Fagales order. In the IR spectra, it is known
187 that these wavenumbers belong to C=O stretching in lignin and hemicelluloses and skeletal
188 vibrations from pectin and cellulose (**Table 7**). The darker cells from **Table 7** indicate the
189 wavenumbers highlighted in the ANOVA test described below. The univariate F-value measures the

190 variable's total discriminating power without considering how much might be shared by other
191 variables (Klecka 1980). The wavenumbers are arranged in a descendent order based on their F-
192 values. The greater contributor to the overall discrimination in the Stepwise method will show a
193 better F-value (Klecka 1980). With regards to family dataset, 8 biomarkers (2595, 2203, 2055, 1997,
194 1936, 1928, 1916 and 1896 cm^{-1}) were successfully found discriminating between fagaceae and
195 betulaceae families from fagales order. Differences between groups could not be easily assigned
196 (**Table 7**). Kim et al. (2004) also used some of these wavenumbers when analysing some FTIR
197 spectroscopy data in a taxonomic discrimination.

198 **Classification functions**

199 C-PLS, LDA and PLS-LDA linear models were computed. **Table 8** shows the classification functions
200 with their statistical evaluation for order and family datasets. The coefficients of the classification
201 functions are not interpreted. The darker cells represent the relative importance of the predictor
202 from PLS method (variable important in projection indicator or VIP) in the classification with respect
203 to the other variables (Rakotomalala 2005). Smallest lambda values or largest partial F means high
204 discrimination (Klecka 1980). The significance of the difference was checked using MANOVA and two
205 transformations of its lambda, Bartlett transformation and Rao transformation and there was a
206 significant difference between groups (**Table 9**; $P < 0.01$). Error rate estimation is presented in **Table**
207 **10** using confusion matrix, cross-validation, bootstrap method, Leave-One-Out and external sample
208 tests (test size appears in brackets). The bootstrap value shown in **Table 10** is the higher error
209 obtained by the .632 estimator and its variant .632+. The model seems stable and with a low
210 classification error. LDA gave the lowest error in the classification.

211 **Conclusions**

212 FTIR spectroscopy in combination with PCA and linear model such as LDA were suitable techniques
213 to discriminate wood samples based on the APG II Classification. Ten biomarkers seemed to
214 discriminate fagales from malpighiales order with high significance and low classification error.
215 Concerning to the discrimination of fagaceae from betulaceae families from fagales order, 8
216 biomarkers were highlighted for sample discrimination. With the inclusion of new wood samples it is
217 likely that new chemical features will emerge. In the context of the present work, multivariate
218 analysis has highlighted the chemical differences in hemicelluloses, cellulose and guaiacyl (lignin) in
219 the order dataset, but that this is less evident in the family dataset. Our results suggest that
220 differences in cell-wall composition and structure can provide the basis for chemotaxonomy of
221 flowering plants.

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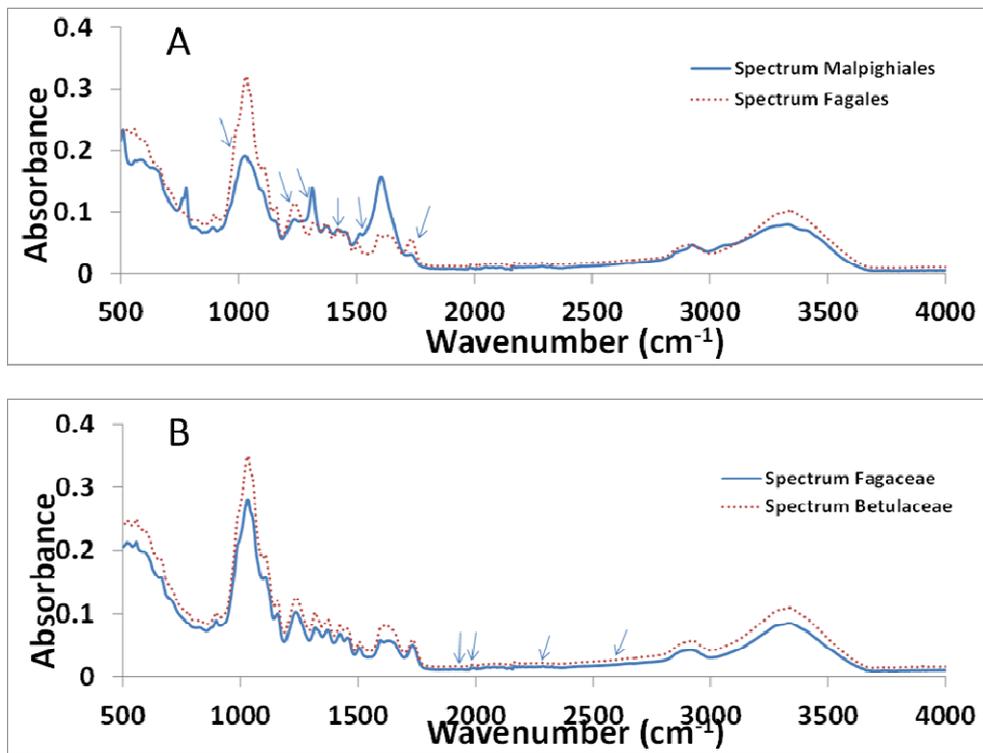
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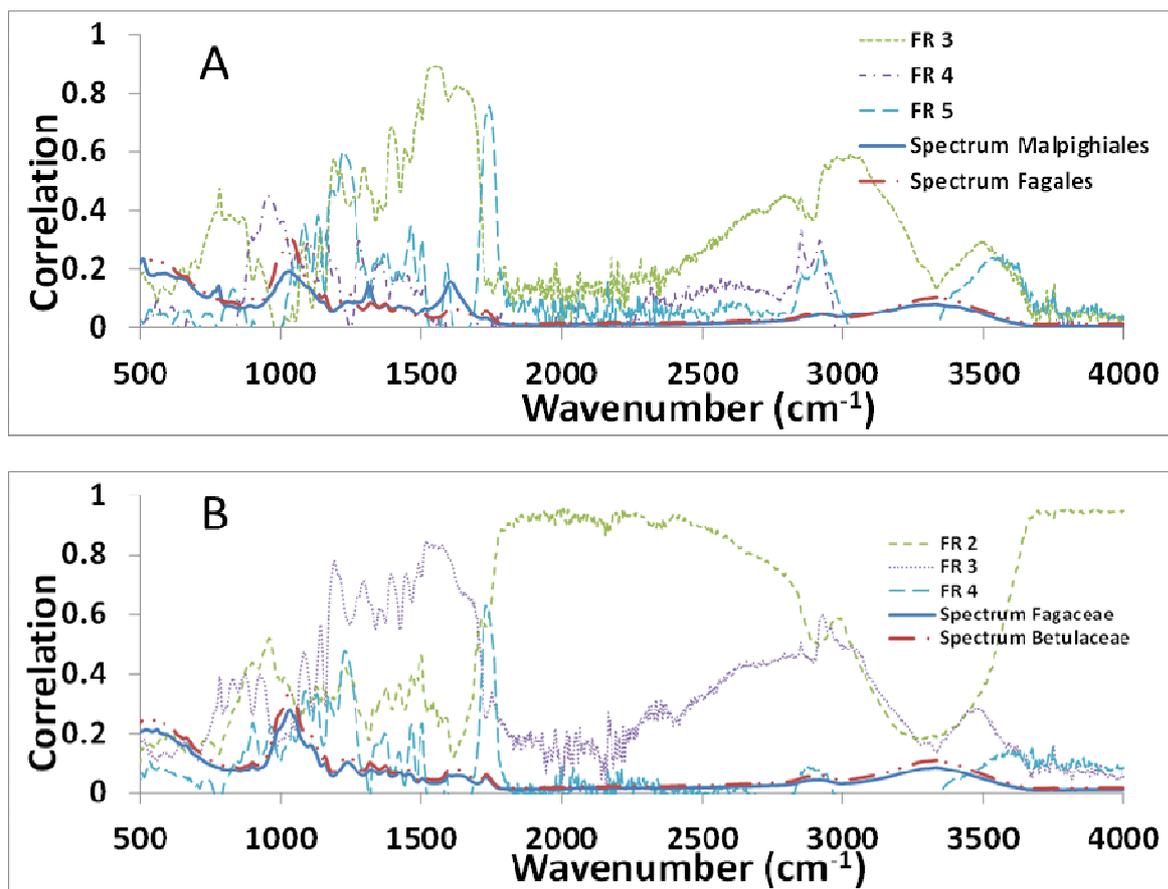
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348 **Fig. 1** Average FTIR spectrum of (a) Order: Malpighiales versus Fagales and (b) Family: Fagaceae versus Betulaceae samples



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350 **Fig. 2** PC loading plot showing the correlation plot of the variables with the factor loadings orthogonally rotated from (a)
 351 Order and (b) Family dataset. Average FTIR spectrum is also shown.

352 **Tables**

353 **Table 1** Recent bibliography related of chemical taxonomy of wood using FTIR spectroscopy and Multivariate analysis

| Analytical approach | Objective | Conclusions | Ref |
|----------------------------|---|--|-----------------------|
| FT-IR | Investigate the changes in composition and structure of oak wood and barley straw | Good results in the analysis of plant fibers and cell walls | (Stewart et al. 1995) |
| FT-IR/ Multiv. analysis | Taxonomic classification of 65 leaf samples of genus <i>Camellia</i> (Ericales order) | Identification and classification of species in the same genus | (Shen et al. 2008) |
| FT-IR/ Multiv. analysis | Characterization of 5 dipterocarp wood species of malvales order and their isolated lignins | Measure of the G/S ratio to distinct between species. | (Rana et al. 2009) |
| FT-IR/ Multiv. analysis | Inter-species competition between a monocotyledon and a dicotyledon by growth analysis | Detecting changes in the global metabolic profiles of plants | (Gidman et al. 2003) |

| | | | |
|---------------------------------|---|---|---------------------------------|
| FT-IR/ Multivar. analysis | Analysis of whole cell of leaf samples of 7 higher plant species | · Determination of 3 to 5 biomarkers that discriminated plants in the carbohydrate fingerprint region (1200-800 cm ⁻¹) · Discrimination between monocot and dicotyledonous plants · FT-IR reflects phylogenetic relationships between plants · The differences in cell-wall composition and estructure can provide the basis for chemotaxonomy of flowering plants | (Kim et al. 2004) |
| FT-IR/ Multivar. analysis | Study of polisaccharides and hemicelluloses extracted from plants | The region at 1200-800 cm ⁻¹ where shown to be useful for the identification of polysaccharides with different structure and composition | (Kacurákov á et al. 2000) |
| FT-IR/ Multivar. analysis | Differentiation of plants based in their leaf fragments technique applied to 3 different genera: Ranunculus (Ranunculales order), Acantholimon(Caryophyl lales order)and Atragalus(Fabales order) | FT-IR revealed dramatic difference between genera in lipid metabolism, carbohydrate composition and protein conformation. Succeed in molecular characterization and identification of plants. | (Gorgulu et al. 2007) |
| FTIR/Multivar. analysis | Differentiation of wood species | It is possible to differentiate between samples of wood species of different origins | (Brunner et al. 1996) |
| FT-NIR | Determination of the origin of several Spruce samples | Trees growing in different locations have different chemical composition and can be distinguished by using FTIR and multivariate analysis | (Sandak et al. 2010) |

354 **Table 2** Wood samples names based on APG II System Classification

| Order | Family | Genus | Species | Common name |
|--------------|------------|------------|-------------------------|----------------|
| Malpighiales | Salicaceae | Populus | <i>Populus</i> | Poplar |
| | | Populus | <i>Poplar nigra</i> | Black Poplar |
| | | Salix | <i>Salix fragilis</i> | Willow |
| Fagales | Betulaceae | Alnus M. | <i>Alnus glutinosa</i> | Black Alder |
| | | Corylus L. | <i>Corylus avellana</i> | Hazel |
| | | Betula L. | <i>Betula pubescens</i> | Birch |
| | Fagaceae | Castanea | <i>Castanea sativa</i> | Sweet Chestnut |
| | | Fagus L. | <i>Fagus sylvatica</i> | Beech |
| | | Quercus | <i>Quercus robur</i> | English Oak |

355 **Table 3** Sample size available for chemometric analysis

| Rings position | Sample size | | | |
|-------------------|------------------|-----------------|------------------|---------------|
| | Order (45) | | Family (27) | |
| | fagales:27 | malpighiales:18 | fagaceae:12 | betulaceae:15 |
| | Training set: 37 | | Training set: 20 | |
| | Test set: 8 | | Test set: 7 | |

356 **Table 4** PCA summary of factor loading

| Factor | Eigen value | Order | | Family | | |
|--------|----------------|----------------|----------------|----------------|----------------|----------------|
| | | % explained | % cumulated | Eigen value | % explained | % cumulated |
| 1 | 24447.53 | 69.91 | 69.91 | 2559.140 | 73.10 | 73.10 |
| 2 | 626.618 | 17.90 | 87.81 | 588.9263 | 16.82 | 89.92 |
| 3 | 138.49 | 3.96 | 91.76 | 142.1563 | 4.06 | 93.98 |
| 4 | 99.3673 | 2.84 | 94.60 | 66.20825 | 1.89 | 95.87 |
| 5 | 66.8034 | 1.91 | 96.51 | 59.16276 | 1.69 | 97.56 |

| | Value | Examples | Average | Std-dev | Variance decomposition | | | Significance level | | |
|-----|--------------|----------|---------|---------|------------------------|---------------|------|--------------------|-----------|----------|
| | | | | | Source | Sum of square | d.f. | Statistics | Value | Proba |
| FR3 | Fagales | 27 | 0.0329 | 0.0661 | BSS | 0.0729 | 1 | Fisher's F | 11.915489 | 0.001261 |
| | Malpighiales | 18 | -0.0493 | 0.0938 | WSS | 0.2631 | 43 | | | |
| | All | 45 | 0 | 0.0874 | TSS | 0.336 | 44 | | | |
| FR2 | Fagaceae | 12 | -9.865 | 15.3611 | BSS | 2100.3693 | 1 | Fisher's F | 5.445587 | 0.027957 |
| | Betulaceae | 15 | 7.8848 | 22.4355 | WSS | 9642.5285 | 25 | | | |
| | All | 27 | -0.004 | 21.2521 | TSS | 11742.898 | 26 | | | |
| FR3 | Fagaceae | 12 | -7.6125 | 8.5755 | BSS | 1250.871 | 1 | Fisher's F | 10.491333 | 0.003376 |
| | Betulaceae | 15 | 6.0853 | 12.455 | WSS | 2980.7246 | 25 | | | |
| | All | 27 | -0.0026 | 12.7575 | TSS | 4231.5956 | 26 | | | |

358 **Table 6** Band assignments of factor rotated loadings from PCA highlighted in the ANOVA analysis

| FR | ν (cm ⁻¹) | Literature assignments and Band origin | Ref. | | |
|---------------------------|-----------------------------------|--|--|----------------|---------------|
| <i>Order</i> | | | | | |
| 3 | 1701-1380 | 1645-1612 C-O stretching of conjugated or aromatic ketones, C=O stretching in flavones | (Hobro et al. 2010; Huang et al. 2008) | | |
| | | 1640-1630 water | (Liang and Marchessault 1959; Marchessault and Liang 1962; Revanappa et al. 2010) | | |
| | | 1650, 1555 C=N and N-H stretching from amides, mainly proteins | (Gorgulu et al. 2007) | | |
| | | 1610-1600, 1513-1502 aromatic skeletal vibration lignin | (Bjarnestad and Dahlman 2002; Hobro et al. 2010; Huang et al. 2008; Kubo and Kadla 2005; Marchessault 1962; Pandey and Vuorinen 2008; Rana et al. 2009; Rana and Sciences 2008; Stewart et al. 1995; Wang et al. 2009) | | |
| | | 1427,1425,1420 aromatic ring vibration combined with C-H in-plane deformation lignin | (Kubo and Kadla 2005; Rhoads et al. 1987; Wang et al. 2009) | | |
| | | 1455 OH in-plane bending cellulose | (Heinze et al. 2006; Liang and Marchessault 1959) | | |
| | | 1430 CH ₂ bending cellulose | (Liang and Marchessault 1959; Rhoads et al. 1987) | | |
| | | 1428-1416 CH ₂ scissors vibrations in cellulose, aromatic skeletal vibration | (Heinze et al. 2006; Hobro et al. 2010) | | |
| | | <i>Family</i> | | | |
| | | 2 | 4000-3605 | X-H stretching | (Stuart 2004) |
| 2820-2573 C-H stretching | (Stuart 2004) | | | | |
| 2565-1774 C=O stretching | (Heinze et al. 2006) | | | | |
| 2350-2340 CO ₂ | (Hobro et al. 2010) | | | | |
| 1773-1771 xylan | (Huang et al. 2008; Mohebbi 2005) | | | | |
| 3 | 1511-1377 | 1511-1502 aromatic ring vibration lignin | (Bjarnestad and Dahlman 2002; Hobro et al. 2010; Huang et al. 2008; Marchessault 1962; Pandey and Vuorinen 2008; Rana et al. 2009; Rana and Sciences 2008; Stewart et al. 1995; Wang et al. 2009) | | |
| | | 1470-1455 aromatic C-H deformation asymmetric in -CH ₃ and -CH ₂ - pyran ring in | (Hobro et al. 2010; Kubo and Kadla 2005; Marchessault 1962; Pandey and Vuorinen 2008; | | |

| | | |
|-----------|---|---|
| | lignin and xylan | Rana and Sciences 2008; Rhoads et al. 1987; Wang et al. 2009) |
| | 1427,1425,1420 aromatic ring vibration combined with C-H in-plane deformation lignin | (Kubo and Kadla 2005; Rhoads et al. 1987; Wang et al. 2009) |
| | 1428-1416 CH ₂ scissors vibrations in cellulose, aromatic skeletal vibration | (Heinze et al. 2006; Hobro et al. 2010) |
| 1376-1195 | 1330-1315 C-O of Syringyl ring | (Hobro et al. 2010; Kubo and Kadla 2005; Mohebbi 2005; Obst 1982; Pandey and Vuorinen 2008; Rana et al. 2009; Rana and Sciences 2008; Rhoads et al. 1987; Wang et al. 2009) |
| | 1600 C-O antisymmetric stretching glucuronic acid (xylan) | (Marchessault and Liang 1962) |
| | 1375,1374,1372 C-H bending (CH ₃ skeletal) cellulose | (Liang and Marchessault 1959; Rhoads et al. 1987) |
| | 1350,1245, 1215 OH in-plane bending xylan | (Marchessault and Liang 1962) |
| | 1336,1335 CH ₂ and C-OH in-plane bending cellulose | (Liang and Marchessault 1959; Rhoads et al. 1987) |
| | 1318,1317 CH ₂ wagging crystalline cellulose | (Liang and Marchessault 1959; Rhoads et al. 1987) |
| | 1270-1268 C=O of Guaiacyl ring vibration in lignin | (Hobro et al. 2010; Huang et al. 2008; Marchessault 1962; Nuopponen 2005; Obst 1982; Rana et al. 2009; Rana and Sciences 2008; Rhoads et al. 1987; Wang et al. 2009) |

359 **Table 7** Best discriminator variables based on a Stepwise estimation from order and family datasets

| Best ν (cm ⁻¹) | F-value | Percentage in each Rotated Factor (FR) | Band assignment | Reference |
|--------------------------------|---|---|---|--|
| Order | | | | |
| 1742 | F:35.50 p:0.0000 | FR5 (57%), FR2 (30%) | 1740-1730 C=O stretching in acetyl groups of hemicelluloses (xylan/glucomannan) | (Åkerholm et al. 2001; Bjarnestad and Dahlman 2002; Gorgulu et al. 2007; Marchessault 1962; McCann et al. 2001; Mohebbi 2008; Mohebbi 2005; Rana et al. 2009; Stewart et al. 1995) |
| 1384 | F:35.23 p:0.0000 | FR1 (49%), FR3 (37%) | C-H bending deformation polysaccharide band (cellulose and hemicellulose) | (Marchessault 1962; Marchessault and Liang 1962; Mohebbi 2005; Pandey and Vuorinen 2008; Rana and Sciences 2008) |
| 1696 | F:24.32 p:0.0000 | FR3 (47%), FR1 (33%) | C=O stretching | (Coates 2000; Silverstein et al. 2005) |
| 1719, 1715 | F:19.57 p:0.0001 F:9.38 p:0.0040 | FR5 (38%), FR2 (30%), FR2 (30%), FR5 (28%) | 1711 C=O stretch (unconjugated) in lignin | (Hobro et al. 2010) |
| 950 | F:9.86 p:0.0031 | FR1 (64%), FR4 (20%) | C-H ring pectin | (Kacuráková et al. 2000; Wellner 1998) |
| 1566 | F:9.77 p:0.0033 | FR3 (79%), FR1 (18%) | 1555 Amide II (C=N and N-H stretching): mainly proteins | (Gorgulu et al. 2007) 21 |

| | | | | |
|------|--------------------|-------------------------|--|---|
| 1273 | F:9.03 p:0.0047 | FR1 (56%), FR3 (19%) | 1270-1268 C=O of Guaiacyl ring vibration in lignin | (Hobro et al. 2010; Huang et al. 2008; Marchessault 1962; Nuopponen 2005; Obst 1982; Rana et al. 2009; Rana and Sciences 2008; Rhoads et al. 1987; Wang et al. 2009) |
| 1438 | F:6.15 p:0.0181 | FR1 (50%), FR3 (35%) | aromatic skeletal vibrations combined with CH ₂ symmetrical bending mode of hydroxymethyl in crystalline cellulose | (Åkerholm et al. 2001; Anchukaitis et al. 2008; Bjarnestad and Dahlman 2002; Marchessault 1962; Rana and Sciences 2008) |
| 1260 | F:5.62 p:0.0235 | FR1 (46%), FR5 (22%) | 1270-1268 C=O of Guaiacyl ring vibration in lignin C-O (ester linkages) | (Hobro et al. 2010; Huang et al. 2008; Marchessault 1962; Nuopponen 2005; Obst 1982; Rana et al. 2009; Rana and Sciences 2008; Rhoads et al. 1987; Wang et al. 2009) |

Family

| | | | | |
|------|---------------------|-------------------------|---|--|
| 2203 | F:32.50 p:0.0000 | FR2 (83%), FR1 (11%) | C=O stretching | (Stuart 2004) |
| 1936 | F:23.49 p:0.0001 | FR2 (89%), FR1 (6%) | -C=C=CH ₂ stretching | (Larkin 2011) |
| 2595 | F:22.08 p:0.0001 | FR2 (71%), FR3 (40%) | S-H stretch | (Coates 2000; Silverstein et al. 2005) |
| 1997 | F:16.32 p:0.0007 | FR2 (88%), FR1 (6%) | Allenes, ketenes, isocyanates, isothiocyanates | |
| 1916 | F:12.76 p:0.0016 | FR2 (86%), FR1 (7%) | 2nd overtone CONH O-H stretch | (Brinkmann et al. 2002) |
| 2055 | F:11.17 p:0.0033 | FR2 (87%), FR1 (9%) | -NCS isothiocyanate or transition metal carbonyl | (Coates 2000) |
| 1928 | F:11.00 p:0.0033 | FR2 (87%), FR1 (6%) | 1st overtone P-OH C=O stretch | (Brinkmann et al. 2002) |
| 1896 | F:9.05 p:0.0075 | FR2 (87%), FR1 (7%) | transition metal carbonyl | (Coates 2000) |

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Table 8 Classification functions for Betulaceae from order and family models

| Descriptors | Classification functions | | | Statistical evaluation | | | | |
|-----------------|--------------------------|-----------|------------|------------------------|----------|------------|----------|----------|
| | PLS-LDA | PLS | LDA | VIP | Wilks L. | Partial L. | F(1,5) | p-value |
| Order | | | | | | | | |
| 1742 | 24.5771 | 0.6958 | 47.0101 | 1.0621 | 0.100925 | 0.348437 | 63.57873 | 0.00000 |
| 1719 | -25.2183 | -0.7140 | 1.5717 | 1.0803 | 0.035177 | 0.999686 | 0.01067 | 0.91835 |
| 1715 | -14.1490 | -0.4006 | -76.1243 | 0.8381 | 0.082211 | 0.427755 | 45.48466 | 0.00000 |
| 1696 | 14.6019 | 0.4134 | 42.9788 | 0.9556 | 0.050833 | 0.6918 | 15.14716 | 0.00044 |
| 1566 | 5.6683 | 0.1605 | 6.2828 | 1.0177 | 0.035861 | 0.980616 | 0.67207 | 0.41804 |
| 1438 | -16.4909 | -0.4669 | -27.7396 | 0.9082 | 0.045834 | 0.767255 | 10.31382 | 0.00288 |
| 1384 | -15.7865 | -0.4469 | -31.3282 | 0.7091 | 0.040389 | 0.870684 | 5.04976 | 0.03123 |
| 1273 | 29.7072 | 0.8410 | 90.2861 | 1.1234 | 0.066927 | 0.525439 | 30.70779 | 0.00000 |
| 1260 | -2.0519 | -0.0581 | -41.9669 | 0.7396 | 0.040981 | 0.858109 | 5.62201 | 0.02355 |
| 950 | 4.7910 | 0.1356 | 4.8394 | 1.3844 | 0.037743 | 0.931713 | 2.49193 | 0.12369 |
| constant | -10.7958 | 0.0000 | -20.5787 | | | | - | |
| Family | | | | | | | | |
| 2595 | 30.705272 | 0.685431 | 51.63692 | 1.709 | 0.039933 | 0.31961 | 23.41697 | 0.00052 |
| 2203 | -44.716878 | -0.998211 | -106.72309 | 1.1159 | 0.058034 | 0.219921 | 39.01791 | 0.000063 |
| 2055 | 3.987204 | 0.089006 | 39.623592 | 0.5761 | 0.018318 | 0.69673 | 4.78805 | 0.051132 |
| 1997 | -29.4359 | -0.657095 | -58.627727 | 1.1446 | 0.022989 | 0.555184 | 8.81324 | 0.012775 |
| 1936 | -15.100637 | -0.33709 | -164.08719 | 0.8776 | 0.049105 | 0.259913 | 31.32187 | 0.000161 |
| 1928 | 12.499757 | 0.279031 | 80.408548 | 0.5761 | 0.024477 | 0.521421 | 10.0962 | 0.008801 |
| 1916 | 31.208287 | 0.69666 | 148.63912 | 0.7796 | 0.047677 | 0.267698 | 30.09116 | 0.00019 |
| 1896 | 23.15418 | 0.516869 | 43.218349 | 0.6944 | 0.015201 | 0.839605 | 2.1014 | 0.175069 |
| constant | -10.367996 | -0.001317 | -28.186321 | | | | - | |

361 **Table 9** MANOVA analysis

| Stat | Order | | Family | |
|------------------|----------|---------|---------|---------|
| | Value | p-value | Value | p-value |
| Wilks' Lambda | 0.0352 | - | 0.0128 | - |
| Bartlett -- C(7) | 127.2116 | 0.0000 | 61.057 | 0.0000 |
| Rao -- F(7, 75) | 93.2842 | 0.0000 | 106.359 | 0.0000 |

362 **Table 10** Validation of the order and family models with ring and sapwood samples

Order

Sapwood sample test (12)

| Method | Confusion matrix (%) | Cross-validation | Bootstrap | Leave-One-Out | Independent Test set |
|---------|----------------------|------------------|-----------|---------------|----------------------|
| PLS-LDA | 0.0000 | 0.0000 | 0.0191 | 0.0000 | 0.0000 |
| PLS | 0.0000 | 0.0400 | 0.0487 | 0.0175 | 0.0833 |
| LDA | 0.0000 | 0.0000 | 0.0025 | 0.0000 | 0.0000 |

Rings sample test (8)

| Method | Confusion matrix(%) | Cross-validation | Bootstrap | Leave-One-Out | Independent Test set |
|---------|---------------------|------------------|-----------|---------------|----------------------|
| PLS-LDA | 0.0000 | 0.0000 | 0.0148 | 0.0000 | 0.0000 |
| PLS | 0.0000 | 0.0000 | 0.0216 | 0.0000 | 0.0000 |
| LDA | 0.0000 | 0.0000 | 0.0016 | 0.0000 | 0.0000 |

Family

Sapwood sample test (10)

| Method | Confusion matrix(%) | Cross-validation | Bootstrap | Leave-One-Out | Independent Test set |
|---------|---------------------|------------------|-----------|---------------|----------------------|
| PLS-LDA | 0.0000 | 0.0333 | 0.0809 | 0.0811 | 0.3000 |
| C-PLS | 0.0000 | 0.0333 | 0.0658 | 0.0811 | 0.3000 |
| LDA | 0.0000 | 0.0333 | 0.0809 | 0.0811 | 0.3000 |

Rings sample test (7)

| Method | Confusion matrix(%) | Cross-validation | Bootstrap | Leave-One-Out | Test set |
|---------|---------------------|------------------|-----------|---------------|----------|
| PLS-LDA | 0.0000 | 0.0000 | 0.0107 | 0.0000 | 0.0000 |
| PLS | 0.0000 | 0.0000 | 0.0107 | 0.0000 | 0.0000 |
| LDA | 0.0000 | 0.0000 | 0.0026 | 0.0000 | 0.0000 |

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